

07/30/01

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.: 2001-1079
	First Named Inventor : Yutaka WADA et al.
	Title: POLISHING METHOD USING AN ABRADING PLATE
	Express Mail Label No.:

 10/03/01  
 50916/60  
 09/916305  
 1002 U.S. PTO

<b>APPLICATION ELEMENTS</b>  See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)  2. <input type="checkbox"/> Small Entity Status is hereby asserted.  3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: 57]</span> (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence Listing, a table, or a computer program listing appendix. - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure  [X] Drawing(s) (35 USC 113) <span style="float: right;">[Total Sheets: 24]</span>  [X] Oath or Declaration <span style="float: right;">[Total Pages: 3]</span> a.1. <input type="checkbox"/> Newly executed (original or copy) a.2. <input checked="" type="checkbox"/> Unexecuted b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)  i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program (Appendix)  8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**


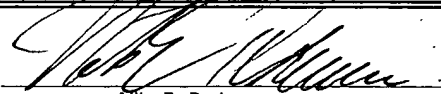
9. ☐ Assignment Papers (cover sheet & document(s))  
 10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)  
☐ Power of Attorney  
 11. ☐ English Translation Document (if applicable)  
 12. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☐ Copies of IDS Citations  
 13. ☐ Preliminary Amendment  
 14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
 15. ☐ Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
 Applicant must attach form PTO/SB/35 or its equivalent.  
 17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application Nos. 09/446,764 & 09/276,153

Prior Application Information: Examiner H. Shakeri & M. Rachuba Group Art Unit 3723 & 3724

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS   <b>000513</b> PATENT TRADEMARK OFFICE	By:  Nils E. Pedersen Registration No. 33,145  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone: (202) 721-8200 Fax: (202) 721-8250  July 30, 2001
-------------------------------------------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

THE COMMISSIONER IS AUTHORIZED  
 TO CHARGE ANY DEFICIENCY IN THE  
 FEES FOR THIS PAPER TO DEPOSIT  
 ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :

Yutaka WADA et al. :

Serial No. NEW :

Attn: Application Branch

Filed July 30, 2001 :

Attorney Docket No. 2001-1079

POLISHING METHOD USING AN ABRADING  
PLATE

(Rule 1.53(b) Continuation-In-Part  
of Serial Nos. 09/446,764 & 09/276,153,  
Filed April 28, 1999 & March 25, 1999)

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1168.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$710.00

Additional Claims Fee:

Excess of Twenty ..... \$378.00

Independent ..... \$80.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Yutaka WADA et al.

By

  
Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

NEP/krl

WENDEROTH, LIND & PONACK, L.L.P.

2033 K St., N.W., Suite 800

Washington, D.C. 20006-1021

Telephone (202) 721-8200

July 30, 2001

[Check No. 45711]

2001\_1079